

Middle-Product Learning with Rounding Problem and its Applications

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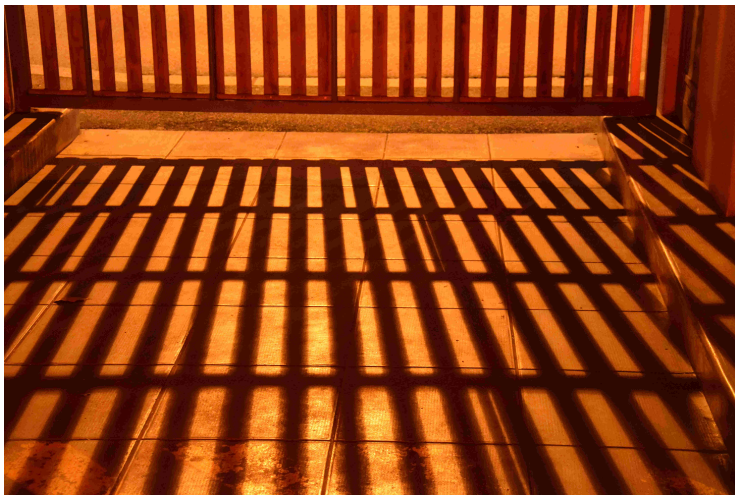
⁴ Algorand.

Dromadaire, 23th January 2020, Rennes, France

We define a Learning with Errors (LWE) variant which

- is at least as hard as **exponentially many** P-LWE instances,
- is **deterministic** and
- can be used to build **efficient** public key encryption.

Introduction



Definition (Informal)

Cryptographic constructions whose security are based on the hardness of lattice problems

Advantages

- **post-quantum**
- **efficient** constructions
- **advanced** cryptographic constructions
- worst-case to average-case **security** reductions

Definition (Informal)

Cryptographic constructions whose security are based on the hardness of lattice problems

Advantages and Motivation

- **post-quantum** ?
- **efficient** constructions often only asymptotically
- **advanced** cryptographic constructions
- worst-case to average-case **security** reductions
not for all variants used in practice

Euclidean Lattices

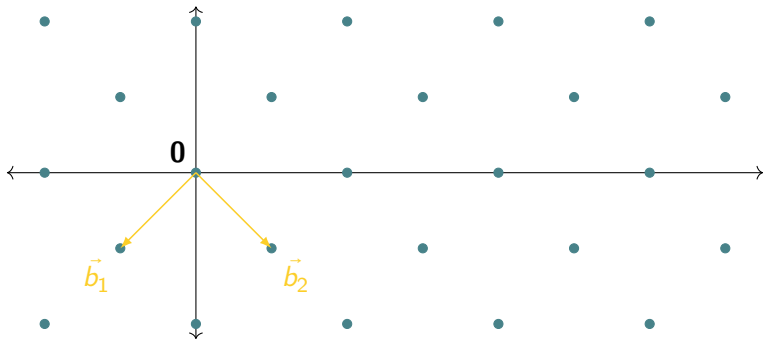
An **Euclidean Lattice** Λ of **dimension** n is the set of linear combinations with integer coefficients of n independent basis vectors $B = (\vec{b}_1, \dots, \vec{b}_n)$ in the real vector space \mathbb{R}^n ,

$$\Lambda(B) = \left\{ \sum_{i=1}^n a_i \cdot \vec{b}_i \mid a_i \in \mathbb{Z} \right\}.$$

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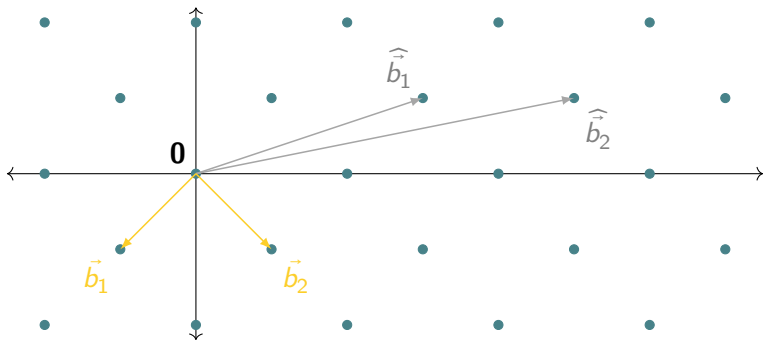
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Hard lattice problems SVP and SVP_γ

Let $\Lambda(B)$ be a lattice of dimension n with basis B .

Its **minimum** is defined as $\lambda_1(\Lambda(B)) = \min_{\vec{v} \in \Lambda(B) \setminus \{\vec{0}\}} \|\vec{v}\|$.¹

¹Fix any norm, e.g. Euclidean norm $\|\cdot\|_2$

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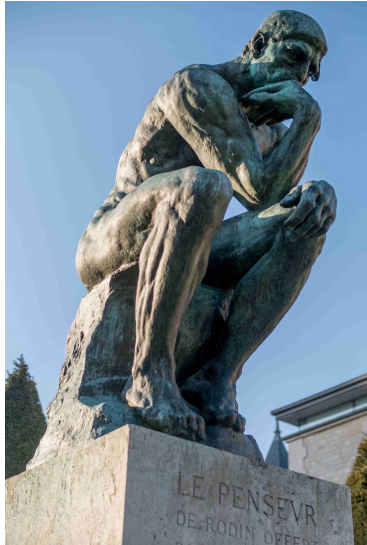
Given a basis B and an approximation factor γ , find $\vec{v} \in \Lambda(B)$ non-zero such that $\|\vec{v}\| \leq \gamma \cdot \lambda_1(\Lambda(B))$.

The complexity of SVP_γ increases with n , but decreases with γ .

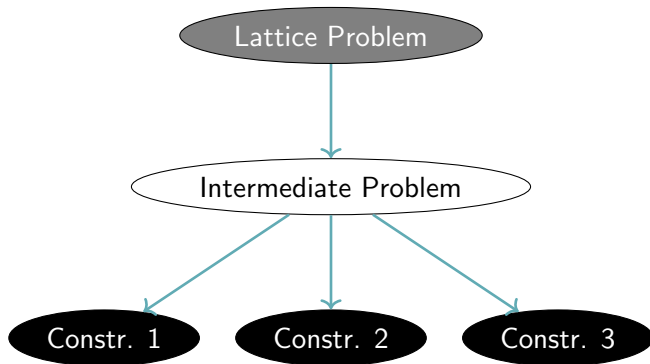
It is believed to be exponential in n for any polynomial γ .

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What to do with it?



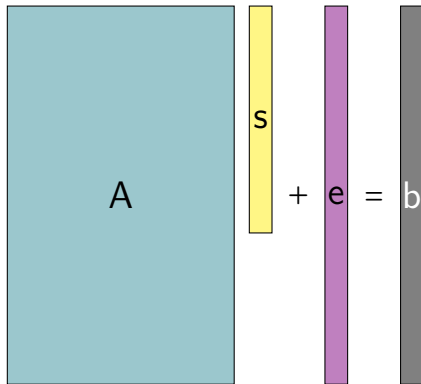
Images: wikipedia.fr



Intermediate Problem: Learning With Errors (LWE)

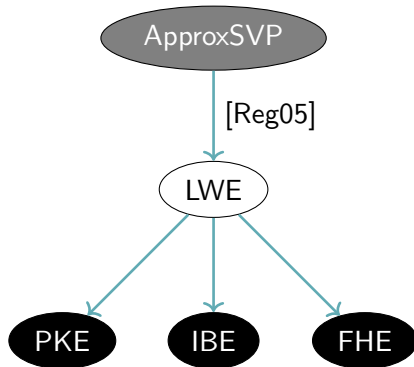
Given $\mathbf{A} \in \mathbb{Z}_q^{m \times n}$ and $\mathbf{b} \in \mathbb{Z}_q^m$.

Search: Find $\mathbf{s} \in \mathbb{Z}_q^n$ and a small noise \mathbf{e} (e.g. Gaussian) s.t.:

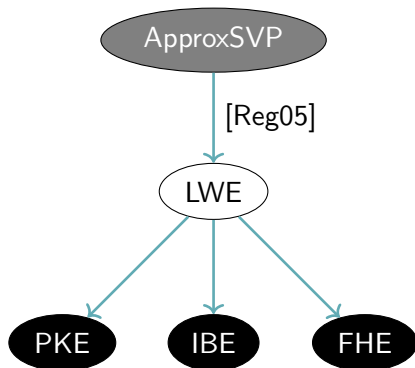

$$\mathbf{A} \mathbf{s} + \mathbf{e} = \mathbf{b}$$

Decision: Distinguish from uniform distribution

Problem: Need to store $m(n+1) \log q$ bits for \mathbf{A} and \mathbf{b} .



PKE=Public Key Encryption, IBE=Identity-Based Encryption,
FHE=Fully Homomorphic Encryption



Advantage:

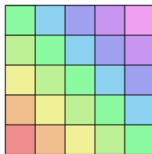
security based on **all** Euclidean lattices

Disadvantages:

- (1) large public keys
- (2) Gaussian sampling

Structured LWE: Polynomial Learning With Errors

Idea: Give A a **structure**, need to store less.



Structured LWE: Polynomial Learning With Errors

Algebraic setting: Replace \mathbb{Z}_q^n by $R_q = \mathbb{Z}_q[x]/\langle f(x) \rangle$, for example $f(x) = x^n + 1$

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Given $\mathbf{a} = \sum_{i=0}^{n-1} a_i x^i \in R_q$ and $\mathbf{b} \in R_q$.

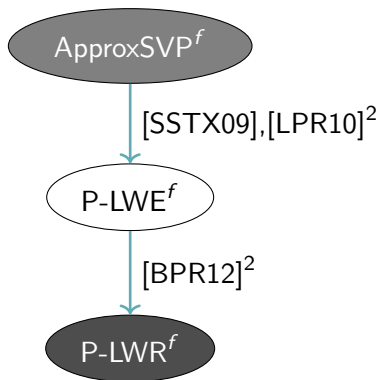
Search: Find $\mathbf{s} \in R_q$ and small noise \mathbf{e} such that:

$$\begin{bmatrix} a_0 - a_{n-1} & \cdots & -a_1 \\ a_1 & & \\ \vdots & \ddots & \vdots \\ a_{n-1} & \cdots & a_0 \end{bmatrix} \mathbf{s} + \mathbf{e} = \mathbf{b}$$

$\text{Rot}_f(\mathbf{a})$

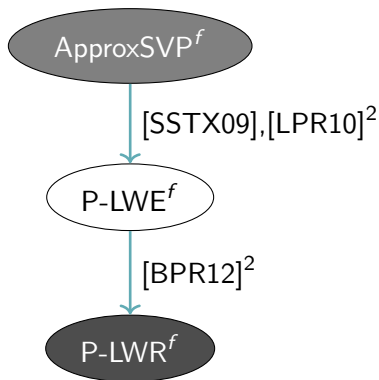
This corresponds to $a \cdot s + e = b$ in R_q .

Two ideas: structured and deterministic variants



²For simplicity, take the power-of-two cyclotomic case, where P-LWE and R-LWE (resp. P-LWR and R-LWR) coincide.

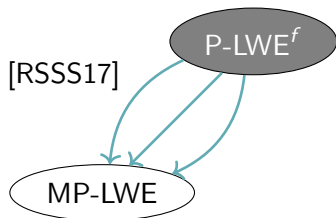
Two ideas: structured and deterministic variants



- Disadvantages:
- (1) security based on **restricted** class of lattices, **depending** on f
 - (2) **decisional** P-LWR: super-polynomial modulus

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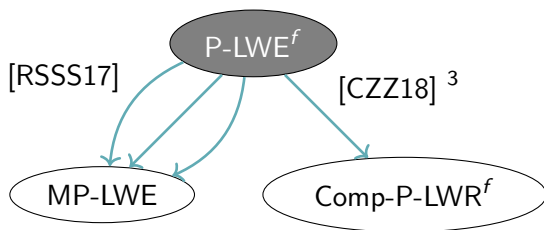
Previous work:



Solution: (1) Middle-Product LWE
reduction for **exponentially** many f

³We simplified the graph, their reduction was shown for the ring variants.

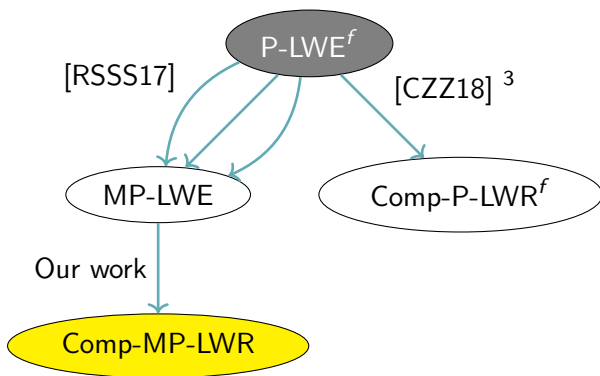
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 - (2) Computational $P-LWR^f$
allows **provable secure** PKE

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Previous work:



- Solution:
- (1) Middle-Product LWE reduction for **exponentially** many f
 - (2) Computational P-LWR f allows **provable secure** PKE

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We define:

(1) Computational Middle-Product Learning with Rounding Problem (Comp-MP-LWR)

We show:

(2) Efficient reduction from MP-LWE to Comp-MP-LWR

We construct:

(3) Public Key Encryption based on Comp-MP-LWR

Computational Middle-Product Learning with Rounding



Middle-Product

Given polynomials $a = \sum_{i=0}^{n-1} a_i x^i \in \mathbb{Z}^{<n}[x]$, $b = \sum_{i=0}^{2n-2} b_i x^i \in \mathbb{Z}^{<2n-1}[x]$.

Their product is

$$\begin{aligned} a \cdot b &= c_0 + \cdots + c_{n-2} x^{n-2} \\ &\quad + \textcolor{teal}{c}_{n-1} x^{n-1} + \textcolor{teal}{c}_n x^n + \cdots + \textcolor{teal}{c}_{2n-2} x^{2n-2} \\ &\quad + c_{2n-1} x^{2n-1} + \cdots + c_{3n-3} x^{3n-3} \in \mathbb{Z}^{<3n-2}[x]. \end{aligned}$$

Their **middle-product** is

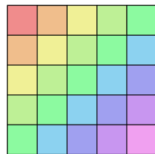
$$a \odot_n b = \textcolor{teal}{c}_{n-1} + \textcolor{teal}{c}_n x + \cdots + \textcolor{teal}{c}_{2n-2} x^{n-1} \in \mathbb{Z}^{<n}[x].$$

Matrix representation of the middle-product

Given a polynomial $b = \sum_{i=0}^{2n-2} b_i x^i \in \mathbb{Z}^{<2n-1}[x]$.

Its **Hankel matrix** is

$$\text{Hankel}(b) = \begin{pmatrix} b_0 & b_1 & \dots & b_{n-1} \\ b_1 & b_2 & \dots & b_n \\ & & \ddots & \\ b_{n-1} & b_n & \dots & b_{2n-2} \end{pmatrix} \in \mathbb{Z}^{n \times n}.$$



For any $a \in \mathbb{Z}^{<n}[x]$ it yields

$$a \odot_n b = \text{Hankel}(b) \cdot \bar{a},$$

where $\bar{a} = (a_{n-1}, \dots, a_0)^T$.

Middle-Product LWE + LWR

Let χ be a distribution on $\mathbb{R}^{<n}[x]$ (e.g., Gaussian)

Definition (MP-LWE $_{q,n,\chi}$ distribution for $s \in \mathbb{Z}_q^{<2n-1}[x]$)

Sample $a \leftarrow U(\mathbb{Z}_q^{<n}[x])$ and $e \leftarrow \chi$.

Return $(a, b = a \odot_n s + e) \in \mathbb{Z}_q^{<n}[x] \times \mathbb{R}_q^{<n}[x]$

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Given $p < q$ and $y \in \mathbb{Z}_q$. Rounding $\lfloor y \rfloor_p = \left\lfloor \frac{p}{q} \cdot y \right\rfloor \bmod p$.

Definition (MP-LWR $_{p,q,n}$ distribution for $s \in \mathbb{Z}_q^{<2n-1}[x]$)

Sample $a \leftarrow U(\mathbb{Z}_q^{<n}[x])$.

Return $(a, \lfloor b \rfloor_p = \lfloor a \odot_n s \rfloor_p) \in \mathbb{Z}_q^{<n}[x] \times \mathbb{R}_p^{<n}[x]$

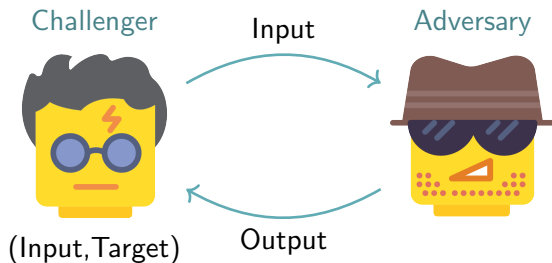
Challenger



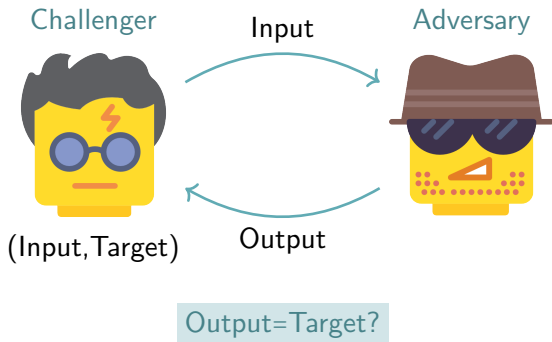
Adversary



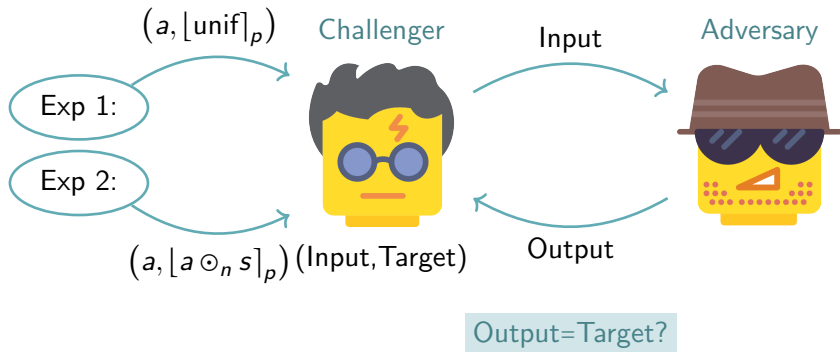
Intuition



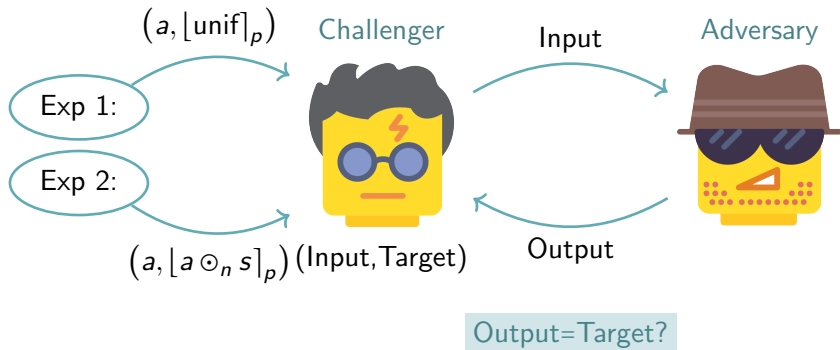
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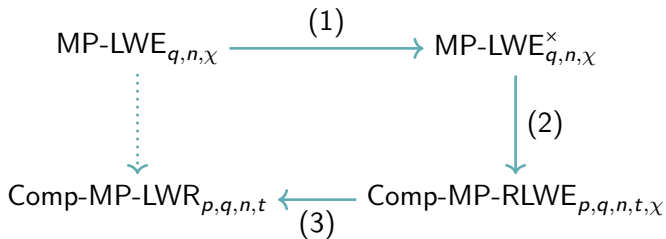
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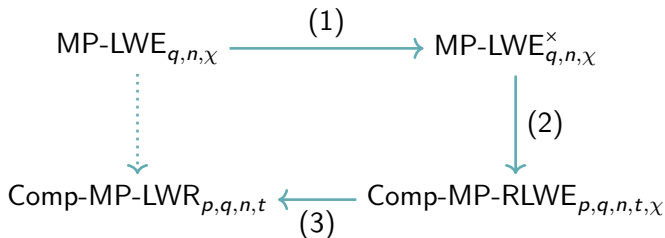
Assumption (Comp-MP-LWR)

The adversary can't obtain more information from the MP-LWR distribution than from the rounded uniform distribution.

Reduction

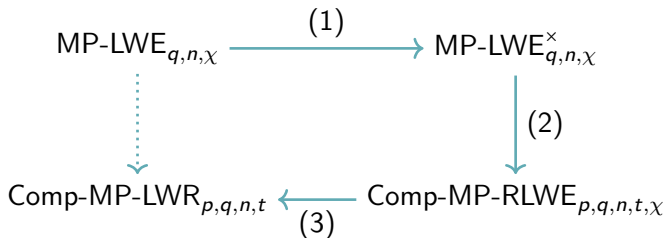


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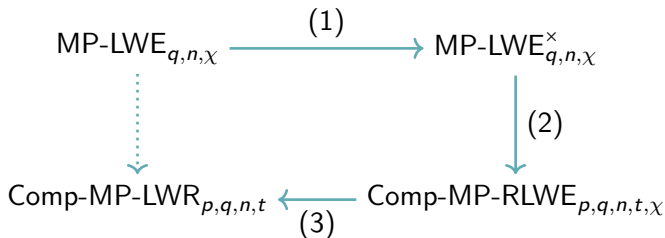
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(e.g., for q prime, happens with probability $\geq 1 - 1/q$)
 a uniform $\Rightarrow a \odot_n s = \text{Hankel}(s) \cdot \bar{a}$ uniform

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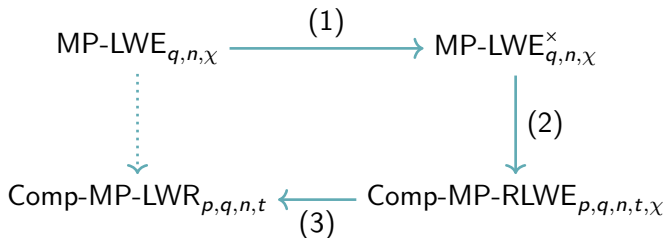
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- (3) Using Rényi divergence:
fix number of samples t **a priori**

Reduction

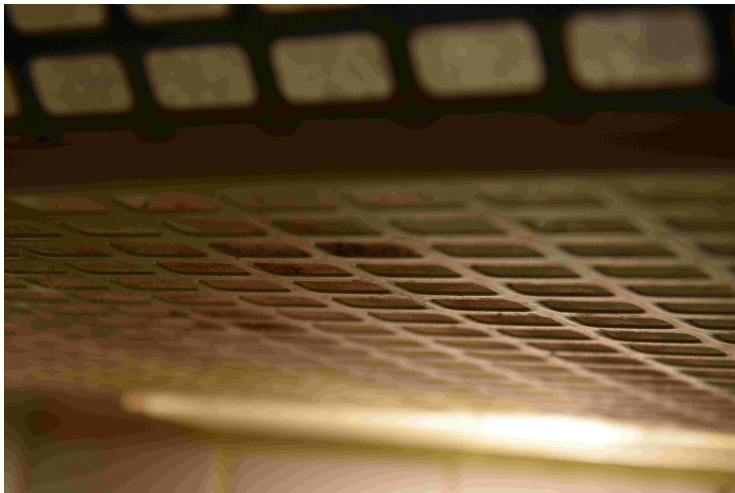


The reduction is **dimension-preserving** and works for **polynomial-sized** modulus q .

Elements sampled from χ are bounded by B with probability at least δ , s.t.

$$q > 2pBnt \text{ and } \delta \geq 1 - \frac{1}{tn}.$$

PKE based on Comp-MP-LWR



High level: Adapt encryption scheme from [CZZ18] to middle-product setting.

Public Key Encryption from Comp-MP-LWR

Message $\mu \in \{0,1\}^{n/2}$ and random oracle $H: \{0,1\}^{n/2} \rightarrow \{0,1\}^{n/2}$

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Enc(μ, \mathbf{pk}). Sample $r_i \leftarrow U(\{0, 1\}^{<n/2+1}[x])$ for $1 \leq i \leq t$. Set

$$c_1 = \sum_{i \leq t} r_i a_i \quad \text{and} \quad v = \sum_{i \leq t} r_i \odot_{n/2} b_i.$$

Further set $c_2 = \langle v \rangle_2$ and $c_3 = H(\lfloor v \rfloor_2) \oplus \mu$.

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Dec($c_1, c_2, c_3, \mathbf{sk}$). Compute $w = c_1 \odot_{n/2} s$ and return $\mu' = c_3 \oplus H(\text{REC}(w, c_2))$.

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For **correctness**, reconciliation mechanism has to work:

$$\text{REC}(w, \langle v \rangle_2) = \lfloor v \rfloor_2 \quad \text{if} \quad |w - v| < \frac{q}{8}$$

$\mathbf{pk} = (a_i, b_i)$, $\mathbf{sk} = s$ and ciphertext $c = (c_1, c_2, c_3)$, where

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Sequence of steps:

- Distinguishing advantage of IND-CPA game upper bounded by advantage of computing preimage $\lfloor v \rfloor_2$ of H ,

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- Replace second component of \mathbf{pk} by rounded uniform samples (use Comp-MP-LWR assumption),
- Replace v by uniform sample, thus c_2 is also uniform (use Generalized LHL),
- As c_1 and c_2 are independent, adversary can only **guess** preimage of H .

Parameter Choice 1/2

Let λ be the security parameter and $c > 0$ be a positive constant.

| Parameter | [RSSS17] | Our work |
|-----------|---|----------------------------|
| n | $\geq \lambda$ | $\geq \lambda$ |
| t | $\Theta(\log n)$ | $\Theta(\log n)$ |
| q | $\Theta(n^{2.5+c} \sqrt{\log n})$ | $\Theta(n^{4+c} \log^2 n)$ |
| $\log q$ | $\Theta(\log n)$ | $\Theta(\log n)$ |
| α | $\Theta\left(\frac{1}{n\sqrt{\log n}}\right)$ | - |
| p | - | $\Theta(n \log n)$ |
| B | - | $O(n^{2+c})$ |

Figure: Comparison of asymptotic parameters

\Rightarrow scheme is **correct** and **secure**,

\Rightarrow **asymptotically**, key and ciphertext size dominated by $\log q$.

\Rightarrow increase of q due to restrictions in hardness proof and correctness

Parameter Choice 2/2

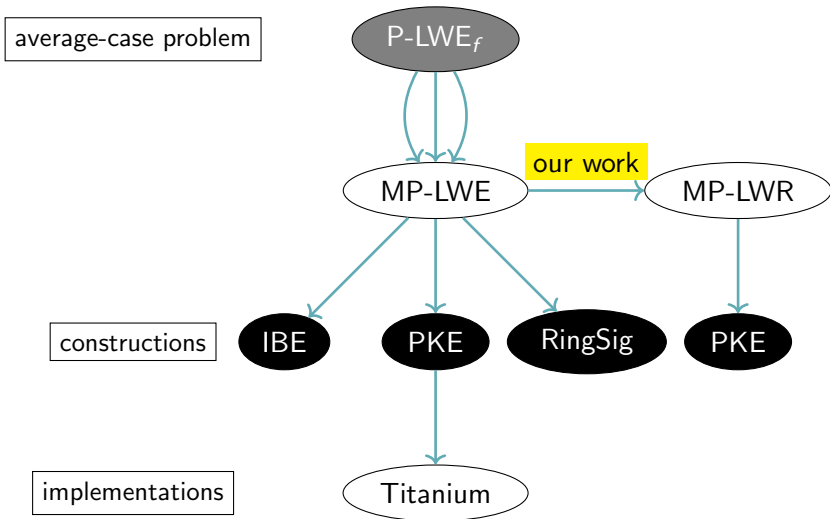
Let $n \geq \lambda$ and let t be the number of samples.

| Parameter | [RSSS17] | Our work |
|-----------------|-------------------------|---------------------------------|
| $\log q$ | $\Theta(\log n)$ | $\Theta(\log n)$ |
| Key size | | |
| sk | $(2n - 1) \cdot \log q$ | $(2n - 1) \cdot \log q$ |
| pk | $t \cdot (2n \log q)$ | $t \cdot (n \log q + n \log p)$ |
| Ciphertext size | | |
| c_1 | $(3/2n) \log q$ | $(3/2n) \log q$ |
| c_2 | $n/2 \log q$ | $n/2$ |
| c_3 | - | $n/2$ |

Figure: Comparison of key and ciphertext sizes

- In **practice**: derive parameters from the best known attacks (e.g. BKZ with quantum sieving)
- Primal and dual attack on public key/ciphertext
- Using Toeplitz-matrix representation to define the underlying lattice (ignore sparse structure)
- Recently, Sakzad, Steinfeld and Zhao improve the crypto-analysis [SSZ19]

Big Picture Middle-Product



Open Questions





- Reduction from **decisional** MP-LWE to **decisional** MP-LWR⁴,
- Alternatively: **search-to-decision** reduction for MP-LWR,
- PKE based on MP-LWR in the **standard model**,
- Using **small** secret to gain in **efficiency**.




⁴Carries over to other structured LWR variants.

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Thank you

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