# Some Easy Instances of Ideal-SVP and Implications on the Partial Vandermonde Knapsack Problem

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Crypto 16 August 2022, Santa Barbara, US

#### Frozen Lake of the Shortest Vector Problem







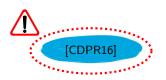












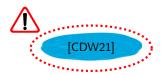




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- 1. Some Easy Instances of Ideal-SVP
- 2. Implications on Partial Vandermonde Knapsack
- 3. Implications to Cryptography

#### Lattices

An Euclidean lattice  $\Lambda$  of rank *n* with a basis  $B = (b_j)_{1 \le j \le n}$  is given by

$$\Lambda(\mathsf{B}) = \left\{ \sum_{j=1}^n z_j \mathsf{b}_j \colon z_j \in \mathbb{Z} \right\}.$$

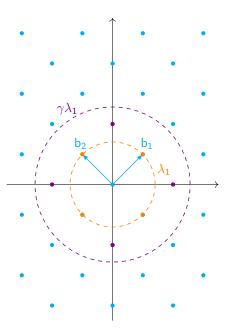
The minimum of  $\Lambda$  is

$$\lambda_1(\Lambda) := \min_{\mathsf{v} \in \Lambda \setminus \{0\}} \|\mathsf{v}\|.$$

The approximate shortest vector problem  $(SVP_{\gamma})$  for  $\gamma \ge 1$  asks to find a vector w such that  $||w|| \le \gamma \lambda_1(\Lambda)$ .

#### **Conjecture:**

There is no polynomial-time algorithm that solves  $SVP_{\gamma}$  for polynomial  $\gamma$ .



#### Module and Ideal Lattices

Number field K of degree d with  $O_K$  its ring of integers Canonical embedding  $\sigma \colon K \to \mathbb{R}^d$ 

> Number Theory  $M \subset (O_K)^r$  module of rank  $r \to \sigma(M) \subset \mathbb{R}^{d \cdot r}$  module lattice  $I \subset O_{\kappa}$  ideal (r = 1) $\rightarrow$

Mod-SVP $_{\gamma}$  is SVP $_{\gamma}$  restricted to module lattices Id-SVP $_{\gamma}$  is SVP $_{\gamma}$  restricted to ideal lattices

Geometry  $\sigma(I) \subset \mathbb{R}^d$  ideal lattice

> hardness assumption of practical lattice-based cryptography

#### Question

Is Mod-SVP and/or Id-SVP easier than SVP on all Euclidean lattices?

This paper: focus on Id-SVP for specific ideals

# Polynomial-Time Solver for Specific Id-SVP $_{\gamma}$

Work	Туре	Field	ldeal	Approx. $\gamma$
[CDPR16]	quantum	cyclotomic	principal (Gaussian generator)	all
[CDW21]	quantum	cyclotomic	all	$\geq 2^{\sqrt{d}}$
[PXWC21]	classic	Galois	A: prime, symmetries	$\sqrt{d}$
[PML21]	classic	Galois	B: all*, symmetries	complex**
This work	classic	all	C : all*, symmetries	$\geq 2\sqrt{d}$

- A  $\cup$  B + poly- $\gamma \subsetneq$  C (easy PV-Knap is only in C)
- Membership in C can be easily checked (not true for  $B + poly-\gamma$ )
- all\*: all ideals whose prime factors are not ramified (all but finitely many)
- complex\*\*: depends on prime decomposition and norm of the ideal

#### Main Result

Let K be a number field of degree d with automorphism group  $\operatorname{Aut}_{\mathbb{Q}}(K)$ . For an ideal I, we define  $n_{I} = |\{\tau \in \operatorname{Aut}_{\mathbb{Q}}(K) : \tau(I) = I\}| \in [1, d]$ .

#### Theorem

Let I be an ideal in K whose prime factors are not ramified. There is a classical algorithm that solves Id-SVP $_{\gamma}$  in the ideal lattice I in time roughly

$$\exp\left(\frac{d}{n_l} \cdot \log\gamma\right).$$

• if  $n_l = 1$ , then exponential-time algorithm (as for general (ideal) lattices)

- if n<sub>l</sub> a fraction of d, then polynomial-time algorithm (many symmetries)
- $n_I$  easy to compute (given a basis of I and a description of Aut<sub>Q</sub>(K))

### **Technical Details**

Let K be a number field of degree d with automorphism group  $Aut_{\mathbb{Q}}(K)$ . For an ideal I, define its

- decomposition group  $H_I := \{ \tau \in Aut_{\mathbb{Q}}(K) : \tau(I) = I \} (n_I = |H_I|)$
- decomposition field  $K_I := \{x \in K : \tau(x) = x, \forall \tau \in H_I\}$  (fixed field of  $H_I$ )

#### Lemma

Let I be an ideal in K whose prime factors are not ramified. Then it holds that  $I = (I \cap K_I) \cdot O_K.$ 

Intuitively:

- Short vectors of I are also contained in  $I \cap K_I$
- The larger  $H_I \Rightarrow$  the smaller  $K_I \Rightarrow$  the easier it is to find short vectors

# Implications on the Partial Vandermonde Knapsack Problem

#### Partial Vandermonde Knapsack

- Number field K of degree d with  $O_K$  its ring of integers
- Prime q such that  $qO_K = \prod_{i=1}^d \mathfrak{p}_i$ , where  $\mathfrak{p}_i$  is prime ideal of norm q
- For  $\Omega \subseteq \{1, \ldots, d\}$ , define  $I_{\Omega} := \prod_{j \in \Omega} \mathfrak{p}_j$

#### Definition (PV-Knap $_{\psi}$ )

Given  $I_{\Omega}$  as above and let  $\psi$  be a distribution over  $O_K$  sampling short ring elements. Given  $t = e \mod I_{\Omega}$ , for  $e \leftarrow \psi$ , the partial Vandermonde knapsack problem asks to find  $e \in \operatorname{supp}(\psi)$ .

Choice of  $\Omega$ :

- [HPS<sup>+</sup>14, HS15, DHSS20] don't specify how to choose  $\Omega$  (and fix it)
- [LZA18, BSS22] sample  $\Omega$  uniformly at random

## PV Knap as Ideal Lattice Problem

Number field K of degree d and canonical embedding  $\sigma \colon K \to \mathbb{R}^d$ 

#### Definition (Id-BDD $_{\delta}$ )

Let I be an ideal in  $O_K$ . Given  $t \in \mathbb{R}^d$  such that t = v + e, with  $v \in \sigma(I)$  and

 $\|\boldsymbol{e}\| \leq \delta,$ 

the **approximate bounded distance decoding** problem over **ideal lattices** (Id-BDD<sub> $\delta$ </sub>) asks to find *e* (or *v*).

Assume that  $\psi$  is  $\delta$ -bounded distribution over  $O_K$ Instance of PV-Knap $_{\psi} \Rightarrow$  instance of Id-BDD $_{\delta}$  for the ideal  $I_{\Omega}$  with

 $t = e \mod I_{\Omega} = v + e$ ,

where  $v \in \sigma(I_{\Omega})$  and  $||e|| \leq \delta$ .

## Missing Puzzle Piece

#### Lemma (Simplified)

Let I be an ideal of K. There is an efficient reduction from Id-BDD<sub> $\delta$ </sub> in I to Id-SVP<sub> $\gamma$ </sub> in I', where  $\delta$  and  $\gamma$  are quite close.

- Simplified a lot
- Standard techniques
- I' has symmetries  $\Leftrightarrow I$  has symmetries
- Fore more details: ia.cr/2022/709

### Bad Choices of $\boldsymbol{\Omega}$

Idea: If we can solve Id-SVP on  $I_{\Omega}$ , we can solve PV-Knap on  $I_{\Omega}$ 

Question: When does  $I_{\Omega}$  have many symmetries?

Strategy: Construct specific  $I_{\Omega}$  that is fixed by many automorphisms of K

### Bad Choices of $\Omega$

Idea: If we can solve Id-SVP on  $I_{\Omega}$ , we can solve PV-Knap on  $I_{\Omega}$ Question: When does  $I_{\Omega}$  have many symmetries? Strategy: Construct specific  $I_{\Omega}$  that is fixed by many automorphisms of K

- Fix one prime ideal  $\mathfrak{p}$  of the factorization of  $qO_{\mathcal{K}} = \prod_{i=1}^{d} \mathfrak{p}_i$
- Let H be a subgroup of  $Aut_{\mathbb{Q}}(K)$
- It defines  $\Omega_H \subseteq \{1, \ldots, d\}$  such that  $\{\tau(\mathfrak{p}) \colon \tau \in H\} = \{\mathfrak{p}_i \colon i \in \Omega_H\}$

Hence, the ideal

$$\mathcal{I}_{\Omega_{\mathcal{H}}} = \prod_{i\in\Omega_{\mathcal{H}}}\mathfrak{p}_i = \prod_{ au\in\mathcal{H}} au(\mathfrak{p})$$

is fixed by H.

**Example:** For K power-of-two cyclotomic of degree d, it exists H of size d/2.

#### **Experimental Results**

#### • Scenario 1: worst-case $\Omega$

- $\Omega$  chosen such that  $I_{\Omega}$  stable by many automorphisms
- Parameter sets from the literature [HPS<sup>+</sup>14, LZA18]
- Solve PV-Knap in few minutes, even seconds

#### • Scenario 2: average-case $\Omega$

- $\Omega$  chosen uniformly at random
- Only distinguishing attacks
- Strategy: forget some indices in the set Ω
- Trade-off: problem gets harder, but we might gain symmetries
- ▶ With non-negligible probability lattice dimension is reduced by factor 2
- 128-bit security claimed by [LZA18] drops to 87-bit security (against distinguishing attacks)

# Implications to Cryptography

## Guidelines for using Id-SVP $_{\gamma}$ in Cryptography

- 1 Check if rank can be increased from 1 to 2 (aka rely on Mod-SVP  $_{\gamma}$  instead)
- 2 If not, use random ideals sampled from a distribution that is supported by worst-to-average case reductions [Gen09, dBDPW20]
- 3 If not, avoid known 'bad' ideals, i.e.,
  - principal ideal with Gaussian generator in cyclotomic fields [CDPR16]
  - ideals fixed by some non-trivial automorphism of the field [this work]
- 4 In any case, do not rely on the hardness of Id-SVP<sub> $\gamma$ </sub> for  $\gamma \ge 2^{\sqrt{d}}$ , where d is the degree of the number field (if it is cyclotomic) [CDW21]

## Implications to PV-Knap-Based Cryptography

#### • Our results lead to

- secret key recovery attacks against PASS Sign [HPS<sup>+</sup>14, LZA18]
- secret key recovery attacks against PASS Encrypt [HS15, BSS22]
- forgery attacks against aggregate signature MMSA(TK) [DHSS20]

only for specific design choices of  $\Omega$ 

- $\bullet\,$  For random  $\Omega,$  the attack lattice dimension is decreased by a factor 2
- Can be mitigated by increasing the parameters

### Implications to Lattice-Based Cryptography

- Our algorithm solves specific instances of Id-SVP
- Having many symmetries is a strong requirement
- No implications to the hardness of structured problems such as Ring-SIS or Ring-LWE, as they are based on worst-case hardness of Id-SVP
- Reductions are only proven in one direction
- No implications to the hardness of Module-LWE (Dilithium, Kyber)

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Thank you.



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